

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 7-2005)		ATTORNEY'S DOCKET NUMBER 14321.86													
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/584820													
INTERNATIONAL APPLICATION NO. PCT/JP2005/012186	INTERNATIONAL FILING DATE 01 July 2005	PRIORITY DATE CLAIMED 02 July 2004													
TITLE OF INVENTION OPTICAL FUNCTIONAL CIRCUIT															
APPLICANT(S) FOR DO/EO/US <table> <tr> <td>Tsutomu Kitoh</td> <td>Toshikazu Hashimoto</td> <td>Hiroshi Takahashi</td> <td>Ikuo Ogawa</td> </tr> <tr> <td>Yohei Sakamaki</td> <td>Takashi Saida</td> <td>Masahiro Yanagisawa</td> <td>Tomohiro Shibata</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Senichi Suzuki</td> </tr> </table>				Tsutomu Kitoh	Toshikazu Hashimoto	Hiroshi Takahashi	Ikuo Ogawa	Yohei Sakamaki	Takashi Saida	Masahiro Yanagisawa	Tomohiro Shibata			Senichi Suzuki	
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Yohei Sakamaki	Takashi Saida	Masahiro Yanagisawa	Tomohiro Shibata												
		Senichi Suzuki													
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:															
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 															
<p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV 825 902 325 US 															

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/584820	INTERNATIONAL APPLICATION NO. PCT/JP2005/012186	ATTORNEY'S DOCKET NUMBER 14321.86
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23. Other items or information:

postcard
 Cover page for PCT/JP2005/012186
 Application Data Sheet

The following fees have been submitted:				CALCULATIONS	PTO USE
24. <input checked="" type="checkbox"/> Basic national fee	\$300	\$	\$300.00		
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article	\$0	\$	\$200.00		
All other situations.....	\$200				
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).	\$0	\$			
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority.	\$100	\$	\$400.00		
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB.	\$400				
All other situations.....	\$500				
TOTAL OF 24, 25 and 26 =				\$	\$900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
79 - 100 =	0 /50 =	0	x \$250.00	\$	\$0.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	x \$50.00	\$	\$0.00
Independent claims	4 - 3 =	1	x \$200.00	\$	\$200.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/>				+ \$360.00	\$ \$0.00
TOTAL OF ABOVE CALCULATIONS =				\$	\$1,100.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	\$0.00
SUBTOTAL =				\$	\$1,100.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					
TOTAL NATIONAL FEE =				\$	\$1,100.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					
TOTAL FEES ENCLOSED =				\$	\$1,100.00
Amount to be \$					
Amount to be \$					

10/584820

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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a. A check in the amount of \$ _____ to cover the above fees is enclosed.

b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this sheet is enclosed.

d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Dana L. Tangren
WORKMAN NYDEGGER

SIGNATURE

Dana L. Tangren

NAME

37,246

REGISTRATION NUMBER

6/27/06

DATE

022913
PATENT TRADEMARK OFFICE
CUSTOMER NO.

JAP20 Rec'd PCT/PTO 27 JUN 2006

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Tsutomu Kitoh et al.				Docket No. 14321.86
Serial No. 10/584820	Filing Date	Confirmation No.	Customer No. 022913	Examiner
Invention: OPTICAL FUNCTIONAL CIRCUIT				
<p>I hereby certify that the following are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop: PCT, Commissioner for Patents, PO Box 1450, Arlington, Virginia 22313-1450.</p> <p>DATED this 27th day of June 2006.</p> <ul style="list-style-type: none">• Transmittal Letter concerning filing under 35 USC § 371 (3 pages) (in duplicate)• Front page of PCT/JP2005/012186• Preliminary Amendment (6 pages)• Application Data Sheet (7 pages)• Verification of Translation• English translation of PCT/JP2005/012186 with drawings (72 pages)• Copy of International Search Report (4 pages)• Form PTO-2038 submitting Credit Card Payment in the amount of \$1,100• Postcard				
DANA L. TANGREN <hr/> <i>Dana L. Tangren</i> (Signature of Person Mailing Correspondence)				
EV 825 902 325 US <hr/> ("Express Mail" Mailing Label Number)				